## 1. The action principle

- For small  $\delta s \in \mathbb{R}$ ,  $f(s + \delta s) = f(s) + \frac{df(s)}{ds}\delta s + R(s, \delta s)$  With  $\delta f := f(s + \delta s) f(s)$ ,  $\delta f = \frac{df(s)}{ds}\delta s + R(s, \delta s)$ , with

$$\lim_{\delta s \to 0} \frac{R(s,\delta s)}{\delta s} = 0$$

So  $\delta f$  vanishes to first order in  $\delta s$ , so  $R(s, \delta s)$  can be written as  $O((\delta s)^2)$ • At the extrema of f,  $\frac{df(s)}{ds} = 0$  so  $\delta f = O((\delta s)^2)$ • Functional: map from functions to  $\mathbb{R}$ 

- y(t) stationary for functional S if

$$\frac{dS[y(t) + \varepsilon z(t)]}{d\varepsilon}\mid_{\varepsilon = 0} = 0$$

for every smooth z(t) with z(a) = z(b) = 0. We use the notation  $\delta y(t) = \varepsilon z(t)$ . y(t)is called a **path**.

• Action principle (variational principle): paths described by particles are stationary paths of S (an action functional):

$$\delta S \coloneqq S[x + \delta x] - S[x] = O\left(\left(\delta x\right)^2\right)$$

for arbitrary smooth small deformations  $\delta x(t)$  around true path x(t).

• Fundamental lemma of the calculus of variations: Let f(x) be continuous in [a, b] and

$$\int_{a}^{b} f(x)g(x) \,\mathrm{d}x = 0$$

for every smooth g(x) in [a, b] with g(a) = g(b) = 0. Then f(x) = 0 in [a, b]. • Notation:

$$rac{\partial L}{\partial x} = rac{\partial L(r,s)}{\partial r}\mid_{(r,s)=(x(t),\dot{x}(t))}, \quad rac{\partial L}{\partial \dot{x}} = rac{\partial L(r,s)}{\partial s}\mid_{(r,s)=(x(t),\dot{x}(t))}$$

• For a path q and a Lagrangian  $L(q, \dot{q})$ , the action for the path is

$$S = \int_{t_0}^{t_1} L(\underline{q}(t), \underline{\dot{q}}(t))) \,\mathrm{d}t$$

• The action above satisfies

$$0 = \delta S = \int_{t_0}^{t_1} \left( \sum_{i=1}^N \frac{\partial L}{\partial q_i} \delta q_i + \sum_{i=1}^N \frac{\partial L}{\partial \dot{q_i}} \delta \dot{q_i} \right) \mathrm{d}t$$

• Euler-Lagrange equation:

$$\frac{\partial L}{\partial x} - \frac{d}{dt} \left( \frac{\partial L}{\partial \dot{x}} \right) = 0$$

• The arguments in a Lagrangian, x and  $\dot{x}$ , are independent:

$$\frac{\partial x}{\partial \dot{x}} = \frac{\partial \dot{x}}{\partial x} = 0$$

- Configuration space,  $\mathcal{C}$ : set of all possible instantaneous configurations of a physical system. (Includes positions but not velocities).
- For configuration space  $\mathcal{C}$  of system  $\mathcal{S}$ , S has dim $(\mathcal{C})$  degrees of freedom.
- Generalised coordinates: A set of coordinates in configuration space.
- Notation: q shows results holds for arbitrary choices of generalised coordinates.
- Euler-Lagrange equation for configuration space  $\mathcal{C}$ :

$$\frac{\partial L}{\partial q_i} - \frac{d}{dt} \left( \frac{\partial L}{\partial \dot{q}_i} \right) = 0 \quad \forall i \in \{1,...,\dim(\mathcal{C})\}$$

• For system with kinetic energy  $T(\underline{q}, \underline{\dot{q}})$  and potential energy  $V(\underline{q})$ , the Lagrangian for the system is

$$L(\underline{q}, \underline{\dot{q}}) = T(\underline{q}, \underline{\dot{q}}) - V(\underline{q})$$

• Ignorable coordinate  $q_i$ : Lagrangian does not depend on  $q_i$ :

$$\frac{\partial L\bigl(q_1,...q_N,\dot{q}_1,...\dot{q}_N\bigr)}{\partial q_i}=0$$

• Generalised momentum of coordinate q<sub>i</sub>:

$$p_i \coloneqq \frac{\partial L}{\partial \dot{q_i}}$$

• Generalised momentum of ignorable coordinate is conserved.

# 2. Symmetries, Noether's theorem and conservation laws

• Transformation depending on  $\varepsilon$ : family of smooth maps  $\varphi(\varepsilon) : \mathcal{C} \to \mathcal{C}$  with  $\varphi(0)$  the identity map. Can be written as

$$q_i \to {q_i}' = \phi_i(q_1,...,q_N,\varepsilon)$$

where the  $\phi_i$  are a set of  $N = \dim(\mathcal{C})$  functions representing the transformation in the given coordinate system. Change in velocities is

$$\dot{\boldsymbol{q}}_i \rightarrow \frac{d}{dt} \phi_i$$

• Generator of  $\varphi$ :

$$\frac{d\varphi(\varepsilon)}{d\varepsilon}\mid_{\varepsilon=0}=\varphi'(0)$$

• In any coordinate system,

$$q_i \to \phi_i(\underline{q},\varepsilon) = q_i + \varepsilon a_i(\underline{q}) + O(\varepsilon^2)$$

where

$$a_i = \frac{\partial \phi_i(\underline{q},\varepsilon)}{\partial \varepsilon} \mid_{\varepsilon = 0}$$

So the generator of the transformation is  $a_i$ .

• For velocities,

$$\dot{\boldsymbol{q}}_i \rightarrow \dot{\boldsymbol{q}}_i + \varepsilon \dot{\boldsymbol{a}}_i \big( \boldsymbol{q}_1,...,\boldsymbol{q}_N, \dot{\boldsymbol{q}}_1,..., \dot{\boldsymbol{q}}_N \big) + O(\varepsilon^2)$$

generated by  $\dot{a}_i$ .

• Equations of motion don't change when total derivative of function of coordinates and time is added to Lagrangian:

$$L \to L + \frac{dF(q_1, ..., q_N, t)}{dt}$$

doesn't change equations of motion.

• Transformation  $\varphi(\varepsilon)$  is **symmetry** if for some F(q,t),

$$L \to L' = L(\phi(q_1, \varepsilon), ..., \phi(q_N, \varepsilon)) = L + \varepsilon \frac{dF(q_1, ..., q_N, t)}{dt} + O(\varepsilon^2)$$

F(q,t) defined up to a constant.

- For ignorable coordinate  $q_i$ , transformation  $q_i \rightarrow q_i + c_i$  is symmetry since  $q_i$ doesn't appear in Lagrangian and  $\dot{q}_i$  stays invariant. So F = 0 here and  $a_k = \delta_{ik}$ .
- Noether's theorem: Let a symmetric transformation be generated by  $a_i(q_1, ..., q_N)$ , so

$$L \rightarrow L + \varepsilon \frac{dF(q_1,...,q_N,t)}{dt} + O(\varepsilon^2)$$

Then

$$Q \coloneqq \left(\sum_{i=1}^N a_i \frac{\partial L}{\partial \dot{q}_i}\right) - F$$

is conserved (so  $\frac{dQ}{dt} = 0$ ). • Q is called **Noether charge**.

- Given Lagrangian  $L(\underline{q}, \underline{\dot{q}}, t)$ , **energy** is

$$E \coloneqq \left(\sum_{i=1}^N \dot{q_i} \frac{\partial L}{\partial \dot{q_i}}\right) - L$$

• Along path  $\underline{q}(t)$  satisfying equations of motion,

$$\frac{dE}{dt} = -\frac{\partial L}{\partial t}$$

• So energy conserved iff Lagrangian doesn't depend explicitly on time.

## **3.** Normal modes

• Canonical kinetic term: of the form  $T = \frac{1}{2} \sum_{i=1}^{n} \dot{q}_{i}^{2}$ .

• Normal mode: solution to  $\underline{\ddot{q}} + A\underline{q} = 0$ , associated with eigenvalue  $\lambda^{(i)} > 0$  of A, of form

$$\underline{q}(t) = \underline{v}^{(i)} \Big( \alpha^{(i)} \cos \Big( \sqrt{\lambda^{(i)}} t \Big) + \beta^{(i)} \sin \Big( \sqrt{\lambda^{(i)}} t \Big) \Big)$$

• Zero mode: solution to  $\underline{\ddot{q}} + A\underline{q} = 0$ , associated with eigenvalue  $\lambda^{(i)} = 0$  of A, of form

$$q(t) = \underline{v}^{(i)} \left( \alpha^{(i)} t + \beta^{(i)} \right)$$

• Instability: solution to  $\underline{\ddot{q}} + A\underline{q} = 0$ , associated with eigenvalue  $\lambda^{(i)} < 0$  of A, of form

$$\underline{q}(t) = \underline{v}^{(i)} \Big( \alpha^{(i)} \cosh \Big( \sqrt{-\lambda^{(i)}} t \Big) + \beta^{(i)} \sinh \Big( \sqrt{-\lambda^{(i)}} t \Big) \Big)$$

• When no instabilities, general solution is superposition (sum) of normal modes and zero modes.

## 4. Fields and the wave equation

• Generalised Euler-Lagrange equations for fields:

$$\frac{\partial \mathcal{L}}{\partial u} - \frac{\partial}{\partial x} \left( \frac{\partial \mathcal{L}}{\partial u_x} \right) - \frac{\partial}{\partial t} \left( \frac{\partial \mathcal{L}}{\partial u_t} \right) = 0$$

and for n fields  $u^{(i)}$ :

$$\frac{\partial \mathcal{L}}{\partial u^{(i)}} - \frac{\partial}{\partial x} \left( \frac{\partial \mathcal{L}}{\partial u^{(i)}_x} \right) - \frac{\partial}{\partial t} \left( \frac{\partial \mathcal{L}}{\partial u^{(i)}_t} \right) = 0 \quad \forall i$$

• If fields don't depend on (t, x) but on d coordinates  $x_i$ ,

$$rac{\partial \mathcal{L}}{\partial u^{(i)}} - \sum_{k=1}^{d} rac{\partial}{\partial x_k} igg( rac{\partial \mathcal{L}}{\partial u_k^{(i)}} igg)$$

where  $u_k^{(i)} = rac{\partial u^{(i)}}{\partial x_k}$ 

• Massless scalar field Lagrangian:

$$\mathcal{L} = \frac{1}{2}\rho u_t^2 - \frac{1}{2}\tau u_x^2$$

 $\rho$  is density,  $\tau$  is tension. The field u is the massless scalar.

• Equation of motion for massless scalar field is

$$\rho u_{tt} - \tau u_{xx} = 0$$

which rearranges to **wave equation**:

$$u_{tt} = c^2 u_{xx}$$

where  $c^2 = \tau / \rho$ .

• D'Alembert's solution to wave equation:

$$u(x,t) = f(x-ct) + g(x+ct)$$

f(x - ct) corresponds to a wave moving to the right with speed c, g(x + ct) corresponds to a wave moving to the left with speed c.

• If  $u(x,0) = \varphi(x)$  and  $u_t(x,0) = \psi(x)$  then

$$u(x,t) = \frac{1}{2}(\varphi(x-ct) + \varphi(x+ct)) + \frac{1}{2c}\int_{x-ct}^{x+ct}\psi(s)\,\mathrm{d}s$$

• In field theory, **symmetry** is transformation

$$u \to u' = u + \varepsilon a(u)$$

such that  $\delta \mathcal{L} = O(\varepsilon^2)$ . a(u) generates the transformation.

- Note: often,  $x_0$  chosen to be t.
- Let  $u_i = \frac{\partial u}{\partial x_i}$ , generalised momentum vector is

$$\underline{\Pi} \coloneqq \left( \frac{\partial \mathcal{L}}{\partial u_0}, ..., \frac{\partial \mathcal{L}}{\partial u_d} \right)$$

• Noether current associated to transformation generated by *a* is

$$\underline{J} = a \underline{\Pi}$$

• If  $\underline{J}$  associated to symmetry,

$$\underline{\nabla} \cdot \underline{J} = \sum_{i=0}^{d} \frac{\partial J_i}{\partial x_i} = 0$$

• (Noether) charge density:

$$\mathcal{Q} \coloneqq J_0$$

• For d = 1, charge contained in interval (a, b):

$$Q_{(a,b)} = \int_a^b \mathcal{Q} \,\mathrm{d} x$$

• For d = 1,

$$\frac{dQ_{(a,b)}}{dt}=J_1(a)-J_1(b)$$

• Noether charge is total charge over all space. For d = 1:

$$Q\coloneqq Q_{(-\infty,\infty)}=\int_{-\infty}^\infty J_0\,\mathrm{d}x$$

• If d = 1 and  $\lim_{x \to \pm \infty} J_1 = 0$ ,

$$\frac{dQ}{dt} = 0$$

• Energy-momentum tensor:

$$T_{ij} \coloneqq \frac{\partial \mathcal{L}}{\partial u_j} \frac{\partial u}{\partial x_i} - \delta_{ij} \mathcal{L}$$

• Energy density:

$$\mathcal{E}\coloneqq T_{00}$$

• Conservation law for energy-momentum tensor:

$$\sum_{j=0}^{d} \frac{\partial T_{ij}}{\partial x_j} = 0$$

- Energy flux:  $T_{tx}$ .
- Dirichlet boundary condition for wave equation:  $u_t(0,t) = 0$  (so u(0,t) = 0 as u has shift symmetry) which gives

$$u(x,t) = f(x-ct) - f(-x-ct)$$

Here, waves reflected off boundary and turned upside down.

• Neumann (free) boundary condition:  $u_x(0,t) = 0$  which gives

$$u(x,t) = f(x-ct) + f(-x-ct)$$

So waves reflected off boundary and not turned upside down.

- Junction conditions:
  - *u* continuous at 0:

$$\lim_{\varepsilon \to 0^+} u(\varepsilon,t) = \lim_{\varepsilon \to 0^-} u(\varepsilon,t)$$

• Energy conservation across junction:

$$\frac{d}{dt} \Bigl( \lim_{\varepsilon \to 0^+} E(-\varepsilon, \varepsilon) \Bigr) = \lim_{\varepsilon \to 0^+} \left( T_{tx} \right)_{x = -\varepsilon} - \lim_{\varepsilon \to 0^+} \left( T_{tx} \right)_{x = \varepsilon}$$

• Ansatz for wave function with spring at junction at x = 0:

$$u(x,t) = \begin{cases} \operatorname{Re}((e^{ipx} + Re^{-ipx})e^{-ipct}) & \text{if } x \le 0\\ \operatorname{Re}(Te^{ip(x-ct)}) & \text{if } x > 0 \end{cases}$$

## 5. The Hamiltonian formalism

- **State** of classical system at given instant in time is complete set of data that fully fixes future evolution of system.
- **Phase (state) space** of system is space of all possible states system can be in at instant in time.
- Hamiltonian formalism parameterises phase space as generalised coordinates q(t) and associated generalised momenta p(t).
- When going from Lagrangian to Hamiltonian formalism, define **generalised momentum** as

$$p_i \coloneqq \frac{\partial L\big(\underline{q},\underline{\dot{q}},t\big)}{\partial \dot{q}_i}$$

• Poisson bracket of  $f(\underline{q}, \underline{p}, t)$  and  $g(\underline{q}, \underline{p}, t)$ :

$$\{f,g\} \coloneqq \sum_{i=1}^n \left(\frac{\partial f}{\partial q_i}\frac{\partial g}{\partial p_i} - \frac{\partial f}{\partial p_i}\frac{\partial g}{\partial q_i}\right)$$

where n is dimension of configuration space (half dimension of phase space).

Position and momentum treated as independent when taking partial derivatives.

#### • Properties of Poisson bracket:

- Antisymmetric:  $\{f, g\} = -\{g, f\}.$
- Linear:  $\{af + bg, h\} = a\{f, h\} + b\{g, h\}.$
- Leibniz identity:  $\{fg,h\} = f\{g,h\} + g\{f,h\}.$
- Jacobi identity:  $\{\{f,g\},h\} + \{\{h,f\},g\} + \{\{g,h\},f\} = 0.$
- Let  $\mathcal P$  be phase space,  $\mathcal F$  be set of functions from  $\mathcal P$  to  $\mathbb R.$
- Hamiltonian flow defined by  $f: \mathcal{P} \to \mathbb{R}$  is infinitesimal transformation on  $\mathcal{F}$  given by

$$\Phi_f^{(e)}: \mathcal{F} \to \mathcal{F}, \quad \Phi_f^{(e)}(g) \coloneqq g + \varepsilon \{g, f\} + O(\varepsilon^2)$$

•  $\Phi_f^{(e)}$  is generator of map from  $\mathcal{P}$  to  $\mathcal{P}$ :

$$\begin{split} \Phi_f^{(e)}(q_i) &= q_i + \varepsilon \frac{\partial f}{\partial p_i} + O(\varepsilon^2) \\ \Phi_f^{(e)}(p_i) &= p_i - \varepsilon \frac{\partial f}{\partial q_i} + O(\varepsilon^2) \end{split}$$

• Noether charge  $Q = \left(\sum_{i=1}^{n} a_i p_i\right) - F$  generates symmetry transformation via Hamiltonian flow:

$$\Phi_Q^{(e)}(q_i) = q_i + \varepsilon \{q_i, Q\} + O(\varepsilon^2) = q_i + \varepsilon a_i + O(\varepsilon^2)$$

• Hamiltonian gives energy:

$$H = \left(\sum_{i=1}^{n} p_i \dot{q}_i\right) - L$$

• Hamilton's equations of motion:

$$\dot{\boldsymbol{q}}_i = \{\boldsymbol{q}_i, \boldsymbol{H}\} = \frac{\partial \boldsymbol{H}}{\partial \boldsymbol{p}_i}, \quad \dot{\boldsymbol{p}}_i = \{\boldsymbol{p}_i, \boldsymbol{H}\} = -\frac{\partial \boldsymbol{H}}{\partial \boldsymbol{q}_i}$$

• Time evolution of  $f(\underline{q}, \underline{p})$  generated by H:

$$\frac{df}{dt} = \{f, H\}$$

If f depends explicitly on time,

$$\frac{df}{dt} = \frac{\partial f}{\partial t} + \{f, H\}$$

• Relation between Hamiltonian and Lagrangian:

$$\frac{\partial H\bigl(\underline{q},\underline{p},t\bigr)}{\partial t}\mid_{\underline{q},\underline{p}} = -\frac{\partial L\bigl(\underline{q},\underline{\dot{q}},t\bigr)}{\partial t}\mid_{\underline{q},\underline{\dot{q}}}$$

• If function Q doesn't depend explicitly on time,  $\{H, Q\} = 0$  so Hamiltonian left invariant by transformation generated by Q:

$$\Phi_Q(H) = H + \varepsilon \{Q, H\} + O(\varepsilon^2) = H + O(\varepsilon^2)$$

## 6. Wave function and probabilities

- Wave function: continuous, complex function of position x and time t:  $\psi(x, t)$ .
- Probability density to find particle at time t and position x:  $P(x,t) = |\psi(x,t)|^2$ , with

$$\int_{-\infty}^{\infty} P(x,t) \, \mathrm{d}x = 1$$

If this integral exists,  $\psi$  is **square-normalisable**. If integral equal to 1,  $\psi$  is **normalised**. Probability of finding particle in interval (a, b) is

$$\int_{a}^{b} P(x,t) \,\mathrm{d}x$$

• Expectation value of f(x):

$$\langle f(x) \rangle = \int_{-\infty}^{\infty} f(x) P(x,t) \,\mathrm{d}x$$

- Uncertainty in position:  $\Delta x = \sqrt{\langle x^2 \rangle \langle x \rangle^2}$
- Infinite potential well in 0 < x < L:

$$V(x) = \begin{cases} 0 & \text{if } 0 < x < L \\ \infty & \text{otherwise} \end{cases}$$

Wave function vanishes in regions  $x \leq 0$  and  $x \geq L$ . Eigenfunctions for this potential are

$$\varphi_n(x) = \sqrt{\frac{2}{L}} \sin\!\left(\frac{n\pi x}{L}\right)$$

- Wave function collapse: if position is measured to be  $x_0$ , wave function becomes very localised around at  $x_0$ , and measurement immediately afterwards will also yield  $x_0$ .
- \$\langle x \rangle\$ is not average of repeated measurements of same particle, but average of measurements of many particles with same wave function.

## 7. Momentum and Planck's constant

• Position operator:

$$\hat{x} = x$$

• Momentum operator:

$$\hat{p}=-i\hbar\frac{\partial}{\partial x}$$

where  $\hbar$  is reduced Planck constant.

• Commutator:

$$[\hat{x},\hat{p}]\coloneqq \hat{x}\hat{p}-\hat{p}\hat{x}=i\hbar$$

• Expectation value of momentum for wave function  $\psi$ :

$$\langle p \rangle = \int_{-\infty}^{\infty} \overline{\psi(x,t)} \hat{p} \psi(x,t) \, \mathrm{d}x = -i\hbar \int_{-\infty}^{\infty} \overline{\psi(x,t)} \frac{\partial}{\partial x} \psi(x,t) \, \mathrm{d}x$$

• Expection value of function of momentum:

$$\langle f(p) \rangle = \int_{-\infty}^{\infty} \overline{\psi(x,t)} f(\hat{p}) \psi(x,t) \, \mathrm{d}x$$

• Momentum uncertainty:

$$\Delta p = \sqrt{\langle p^2 
angle - \langle p 
angle^2}$$

• Heisenberg's uncertainty principle: for any normalised wave function,

$$\Delta x \Delta p \geq \frac{\hbar}{2}$$

# 8. Schrodinger's equation

• Hamiltonian operator:

$$\widehat{H} = \frac{\widehat{p}^2}{2m} + V(x) = -\frac{\hbar^2}{2m}\frac{\partial^2}{\partial x^2} + V(x)$$

Corresponds to measurements of energy.

• Schrodinger's equation:

$$i\hbar\frac{\partial\psi(x,t)}{\partial t}=\widehat{H}\psi(x,t)$$

## 9. The Hilbert space

- Hermitian inner product on vector space V: map  $\langle \cdot, \cdot \rangle : V \times V \to \mathbb{C}$  satisfying:
  - $\langle v, w \rangle = \overline{\langle w, v \rangle}.$
  - $\langle v, a_1w_1 + a_2w_2 \rangle = a_1 \langle v, w_1 \rangle + a_2 \langle v, w_2 \rangle.$
  - $\langle a_1v_1 + a_2v_2, w \rangle = \overline{a_1} \langle v_1, w \rangle + \overline{a_2} \langle v_2, w \rangle$
  - $\langle v, v \rangle \ge 0$  for all v and  $\langle v, v \rangle = 0 \iff v = 0$ .
- Set of continuous square-integrable wave functions forms complex vector space. So  $a_1\psi_1 + a_2\psi_2$  is also square-integrable.
- Hermitian inner product of two wave functions:

$$\langle \psi_1, \psi_2 \rangle = \int_{-\infty}^{\infty} \overline{\psi_1(x)} \psi_2(x) \,\mathrm{d}x$$

• If  $\{\varphi_n(x)\}$  is orthonormal basis so  $\langle \varphi_m, \varphi_n \rangle = \delta_{mn}$ , then any vector can be expressed

$$\psi(x) = \sum_n c_n \varphi_n(x)$$

where  $c_m = \langle \varphi_m, \psi \rangle$ . Hermitian product is then

$$\langle \psi_1, \psi_2 \rangle = \sum_i \overline{\psi_1(x)} \psi_2(x) = \sum_n \overline{c}_{1,n} c_{2,n}$$

So squared norm of  $\psi$  is  $\left|\psi\right|^{2} = \left\langle\psi,\psi\right\rangle = \sum_{n}\left|c_{n}\right|^{2}$ .

## 10. Hermitian operators

• For vector space V, **linear operator** is map  $A: V \to V$  with

$$A(a_1v_1+a_2v_2)=a_1(Av_1)+a_2(Av_2)\\$$

- Any linear combination or composition of linear operators is linear operator.
- Matrix elements of linear operator for orthonormal basis {e<sub>j</sub>}:
   A<sub>ij</sub> = (e<sub>i</sub>, Ae<sub>j</sub>).
- Adjoint  $A^{\dagger}$ :  $\langle v_1, Av_2 \rangle = \langle A^{\dagger}v_1, v_2 \rangle$ . Adjoint has matrix elements which are conjugate of transpose of original matrix.
- Properties of adjoint:

• 
$$(a_1A_1 + a_2A_2)^{\dagger} = \overline{a_1}A_1^{\dagger} + \overline{a_2}A_2^{\dagger}.$$

- $(A_1A_2)^{\dagger} = A_2^{\dagger}A_1^{\dagger}.$
- Hermitian operator: linear operator that is equal to adjoint. Matrix is Hermitian:  $A_{ij} = \overline{A_{ji}}$ .
- Position and momentum operators Hermitian, w.r.t. orthonomal basis of wave functions  $\{\varphi_n(x)\}$ .

# 11. The spectrum of a Hermitian operator

- Wave function  $\psi_a$  is **eigenfunction** of Hermitian differential operator A with **eigenvalue** a if  $A\psi_a(x) = a\psi_a(x)$ .
- Expectation value of Hermitian operator:

$$\langle A \rangle = \langle \psi, A \psi \rangle = \int_{-\infty}^{\infty} \overline{\psi(x)} A \psi(x) \, \mathrm{d}x$$

- If  $\psi_a$  is eigenfunction,  $\langle A \rangle = a$  and  $\langle A^n \rangle = a^n$ . So uncertainty  $\Delta A = 0$ .
- Let A Hermitian operator.
  - Eigenvalues are real and
  - $\psi_1, \psi_2$  eigenfunctions of A with distinct eigenvalues are orthogonal.
- If A has discrete spectrum, can choose orthonormal basis of eigenfunctions  $\{\varphi_n(x)\}$  with eigenvalues  $a_n$ . Then any wave function can be written as  $\psi(x) = \sum_n c_n \varphi_n(x)$  where  $c_n = \langle \varphi_n, \psi \rangle$ . Can interpret  $|c_n|^2$  as probability of measurement of A yielding  $a_n$ .

• Dirac delta function:

$$\delta(a) = \begin{cases} 0 & \text{if } a \neq 0 \\ \infty & \text{if } a = 0 \end{cases}$$

with  $\int_{-\infty}^{\infty} \delta(a) \, \mathrm{d}a = 1$  and

$$\int_{-\infty}^{\infty} \delta(a-a') f(a') \, \mathrm{d} a' = f(a)$$

• Limit definition of Dirac delta function: limit as  $\varepsilon \to 0^+$  of

$$\delta_{arepsilon}(a) = rac{1}{arepsilon \sqrt{\pi}} e^{-a^2/arepsilon^2}$$

• Delta function is Fourier transform of 1:

$$\delta(a) = \frac{1}{2\pi} \int_{-\infty}^{\infty} e^{iaa'} \,\mathrm{d}a'$$

• If A has continuous spectrum (eigenvalues  $a \in \mathbb{R}$ ) then can choose basis of eigenfunctions  $\varphi_a(x)$  with  $\langle \varphi_a, \varphi_{a'} \rangle = \delta(a - a')$ . Can uniquely expand wave function

$$\psi(x) = \int_{-\infty}^{\infty} c(a) \varphi_a(x) \, \mathrm{d} a$$

where  $c(a) = \langle \varphi_a, \psi \rangle$ . Norm of wave function is

$$\langle \psi, \psi \rangle = \int_{-\infty}^{\infty} |c(a)|^2 \,\mathrm{d}a$$

For normalised wave function,

$$\int_{-\infty}^{\infty} |c(a)|^2 = 1$$

so treat  $|c(a)|^2$  as probability distribution for measurements of A.

# 12. Postulates of quantum mechanics

#### • Postulates of quantum mechanics:

- Particle described by normalised wave function  $\psi(x)$ .
- Measurable quantities represented by Hermitian operators A(x, p), constructed from polynomial/real analytic functions of position and momentum operators:

$$\hat{x} = x,$$
  
 $\hat{p} = -i\hbar \frac{\partial}{\partial x}$ 

• Possible outcomes of measurement of A are given by its eigenvalues a. If spectrum discrete,  $\{a_j\}$ , then choose eigenfunction basis  $\varphi_j(x)$  with  $\langle \varphi_i, \varphi_j \rangle = \delta_{ij}$ . Then probability of finding measurement as eigenvalue  $a_j$  is

 $|\langle \varphi_j, \psi \rangle|^2$ . If spectrum continuous,  $a \in \mathbb{R}$ , choose eigenfunctions  $\varphi_a(x)$  with  $\langle \varphi_a, \varphi_{a'} \rangle = \delta(a - a')$ , then probability of finding measurement as eigenvalue a is  $|\langle \varphi_a, \psi \rangle|^2$ .

- If measurement of A yields eigenvalue  $a_j$  (or a), wave function immediately afterwards is  $\varphi_j(x)$  (or  $\varphi_a(x)$ ). Note: in continuous case, wave function immediately afterwards not square-normalisable.
- If no measurements made,  $\psi$  evolves in time according to Schrödinger equation:

$$i\hbar\frac{\partial\psi(x,t)}{\partial t}=\widehat{H}\psi(x,t)$$

• For discrete spectrum, expectation value of A is

$$\langle A 
angle = \sum_j a_j P_j$$

for eigenvalues  $a_j$ ,  $P_j = |c_j|^2$  is probability of measurement being  $a_j$ .

• For continuous spectrum, expectation value of A is

$$\langle A\rangle = \int_{-\infty}^\infty a P(a) \,\mathrm{d} a$$

where  $P(a) = |c(a)|^2$  is probability distribution.

## 13. Commutators and uncertainty principle

• **Commutator** of operators *A*, *B*:

$$[A,B] = AB - BA$$

- Properties of commutator:
  - Anti-symmetry: [A, B] = -[B, A].
  - Linearity:  $[a_1A_1 + a_2A_2, B] = a_1[A_1, B] + a_2[A_2, B].$
  - [A, BC] = B[A, C] + [A, B]C.
  - Jacobi identity: [A, [B, C]] + [B, [C, A]] + [C, [A, B]] = 0.
- If [A, B] = 0, possible to find orthonormal basis of wave functions which are eigenfunctions of A and B.
- A, B compatible if [A, B] = 0.
- Generalised uncertainty principle: for any square-normalisable wave function,

$$\Delta A \Delta B \geq \frac{1}{2} |\langle [A,B] \rangle|$$

• Anti-commutator:  $\{A, B\} = AB + BA$ .

## 14. Energy revisited

- Eigenfunctions of Hamiltonian are **bound states** if classical solution is bounded in space.
- Let  $V(x) \ge V_0$  for all  $x \in \mathbb{R}$ . Then if wave function normalised,  $\langle H \rangle > V_0$ .
- If  $\psi(x)$  is normalised eigenfunction of H with eigenvalue E, then  $E > V_0$ .
- Zero-point energy: smallest eigenvalue  $E > V_0$ .

• Spectrum of Hamiltonian is non-degenerate.

## 15. Stationary states

- Solution to Schrödinger's equation is  $\psi(x,t) = \varphi(x)e^{-iEt/\hbar}$  where  $\varphi(x)$  is eigenfunction of Hamiltonian with eigenvalue E. This solution is stationary wave function.
- Full solution to Schrodinger's equation:

$$\psi(x,t)=\sum_j c_j \varphi_j(x) e^{-iE_jt/\hbar}$$

where  $\{\varphi_j(x)\}\$  is orthonormal basis of Hamiltonian eigenfunctions with eigenvalues  $E_j, c_j$  are coefficients of initial wave function expansion:

$$\psi(x,0) = \sum_{j} c_{j} \varphi_{j}(x)$$

Probability of energy measurement being  $E_j$  is  $P_j = |\langle \varphi_j, \psi \rangle|^2 = |c_j|^2$ .

• Time-independent Schrodinger equation:

$$\widehat{H}\varphi(x) = E\varphi(x)$$

where  $\varphi(x)$  is Hamiltonian eigenfunction with eigenvalue (energy) E.

## 16. Case study: the free particle

• If V(x) = 0, eigenfunction of  $\hat{p}$  is eigenfunction of  $\hat{H}$ .

## 17. Two particle systems

• For two particles in one dimension, wave function is  $\psi(x_1, x_2)$ , probability density is  $P(x_1, x_2) = |\psi(x_1, x_2)|^2$ : probability of finding particle one in (a, b) and particle two in (c, d) is

$$\int_a^b \int_c^d P(x_1, x_2) \,\mathrm{d} x_1 \,\mathrm{d} x_2$$

- Probability of finding particle one in (a, b) is

$$P(x_1) = \int_a^b P(x_1, x_2) \, \mathrm{d}x_2$$

(similarly for particle two).

• If both positions measured as  $\tilde{x}_1, \tilde{x}_2$ , wave function collapses to product of position eigenfunctions:

$$\psi_{\rm before}(x_1,x_2) \rightarrow \psi_{\rm after}(x_1,x_2) \propto \delta(x_1-\tilde{x}_1)\delta(x_2-\tilde{x}_2)$$

• If only particle one measured,

$$\psi_{\mathrm{before}}(x_1,x_2) \rightarrow \delta(x_1-\tilde{x}_1)\psi_{\mathrm{before}}(\tilde{x}_1,x_2)$$

• Hamiltonian for two particles with zero potential:

$$\widehat{H}=-rac{\hbar^2}{2m}rac{\partial}{\partial x_1^2}-rac{\hbar^2}{2m}rac{\partial}{\partial x_2^2}$$

Eigenfunctions are product are single-particle eigenfunctions:

$$\varphi(x_1, x_2) = \frac{2}{L} \sin\left(\frac{n\pi x_1}{L}\right) \sin\left(\frac{m\pi x_2}{L}\right)$$

Eigenvalues are sum of eigenvalues of single-particle Hamiltonians.

- Wave function separable if can be written as product of function of  $x_1$  and function of  $x_2$ .
- Entangled states: when measurement of one particle affects subsequent measurement of other particle. Occurs for non-separable wave functions.

## 18. Simple harmonic oscillator

• Simple harmonic oscillator potential:

$$V(x) = rac{1}{2}m\omega^2 x^2$$

where  $\omega$  is angular frequency.

- If V has minimum at  $x = x_0$  and  $|x x_0|$  small,  $m\omega^2 \approx \frac{1}{2}V''(x_0)$  by Taylor expanion of V(x) around  $x_0$ .
- Energy spectrum of Hamiltonian for simple harmonic oscillator is  $E_n = \hbar \omega \left(n + \frac{1}{2}\right)$

## **19.** The continuity equation

• Probability current density:

$$J \coloneqq \frac{\hbar}{2mi} \big( \overline{\psi} \partial_x \psi - \psi \partial_x \overline{\psi} \big)$$

• Continuity equation:

$$\partial_t P + \partial_x J = 0$$

where  $P(x,t) = |\psi(x,t)|^2$ .

• Probability current vanishes as  $x \to \pm \infty$  for square-normalisable wave functions.

## 20. Scattering problems

• When particle has to cross potential, for  $t \to -\infty$ ,  $\psi(x,t) \to \psi_I(x,t)$  is incoming wavepacket, then it scatters from the potential, as  $t \to \infty$ , tends to sum of reflected and transmitted wavepackets:

$$\psi(x,t) \rightarrow \psi_R(x,t) + \psi_T(x,t)$$

As  $t \to \infty$ , reflected and transmitted wavepackets don't interfere.

• Probability of reflection is

$$R = \lim_{t \to \infty} \int_{-\infty}^{\infty} \left| \psi_R(x,t) \right|^2 \mathrm{d}x$$

Probability of transmission is

$$T = \lim_{t \to \infty} \int_{-\infty}^{\infty} \left| \psi_T(x, t) \right|^2 \mathrm{d}x$$

R + T = 1 if  $\psi$  normalised.

## 21. Tunnelling

• Finite step potential: for  $V_0 > 0$ 

$$V(x) = \begin{cases} 0 & \text{if } x < 0 \\ V_0 & \text{if } x \ge 0 \end{cases}$$

- Scattering occurs when particle has energy  $E > V_0$ .
- Tunnelling occurs when particle has energy  $0 < E < V_0$ .
- For scattering, Hamiltonian eigenfunctions are

$$\varphi(x) = \begin{cases} e^{ikx} + re^{-ikx} & \text{if } x < 0\\ te^{ik'x} & \text{if } x \ge 0 \end{cases}$$

where  $k=\sqrt{2mE\;/\;\hbar^2},\,k'=\sqrt{2m(E-V_0)\;/\;\hbar^2}$ 

- Determine r and t by using that  $\psi$  and  $\partial_x \psi$  continuous at x = 0.
- Finite barrier potential:

$$V(x) = \begin{cases} 0 & \text{if } x < 0 \\ V_0 & \text{if } 0 \le x \le L \\ 0 & \text{if } x > L \end{cases}$$

• For tunnelling, Hamiltonian eigenfunctions are

$$\varphi(x) = \begin{cases} e^{ikx} + re^{-ikx} \text{ if } x < 0\\ te^{-\kappa x} \text{ if } x \ge 0 \end{cases}$$

where  $\kappa = \sqrt{2m(V_0 - E) / \hbar^2}$ . Coefficients r and t found by replacing  $k' \to i\kappa$ .

# 22. Momentum-space wave function

• Momentum-space wave function:

$$\tilde{\psi}(p) = \frac{1}{\sqrt{2\pi\hbar}} \int_{-\infty}^{\infty} \psi(x) e^{-ipx/\hbar} \,\mathrm{d}x$$

satisfies

$$\psi(x) = \frac{1}{\sqrt{2\pi\hbar}} \int_{-\infty}^{\infty} \tilde{\psi}(p) e^{ipx/\hbar} \,\mathrm{d}p$$

• For momentum-space wave function, position and momentum act as operators

$$\hat{x} = i\hbar rac{\partial}{\partial p}$$
  
 $\hat{p} = p$ 

• Momentum probability density:  $\tilde{P}(p) = \left| \tilde{\psi}(p) \right|^2$ . Probability of momentum measurement being a is

$$\int_a^b \tilde{P}(p) \,\mathrm{d} p$$

• Momentum expectation value of f(p):

$$\langle f(p)\rangle = \int_{-\infty}^{\infty} f(p)\tilde{P}(p)\,\mathrm{d}p$$

• Position expectation value of f(x):

$$\langle f(x)\rangle = \int_{-\infty}^{\infty} \overline{\tilde{\psi}(p)} f\left(i\hbar \frac{\partial}{\partial p}\right) \tilde{\psi}(p) \,\mathrm{d}p$$

- $\psi(x)$  normalised iff  $\tilde{\psi}(p)$  normalised.
- Translating ψ(x) by x<sub>0</sub> multiplies ψ̃(p) by e<sup>-ipx<sub>0</sub>/ħ</sup>.
  Translating ψ̃(p) by p<sub>0</sub> multiplies ψ(x) by e<sup>ip<sub>0</sub>x/ħ</sup>.